

**UNITED STATES DEPARTMENT OF THE INTERIOR  
BUREAU OF INDIAN AFFAIRS**

**REQUEST FOR LOAN GUARANTY OR INSURANCE AND APPROVAL**

To: \_\_\_\_\_  
Regional Director

\_\_\_\_\_  
Name of Borrower

BIA -  
Agency

\_\_\_\_\_  
Address

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Tribe

\_\_\_\_\_  
Address

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Enterprise - Name & Address (Incl. Zip Code)

Check appropriate status:

☐ Individual

☐ Cooperative

☐ Tribe

☐ Partnership

☐ Corporation

Purpose of Loan

\$

Repayment schedule & maturity

\_\_\_\_\_  
Percentage of  
Guaranty Requested

\_\_\_\_\_  
Interest  
Rate

\_\_\_\_\_  
Loan Service  
Charge, if any

\_\_\_\_\_  
Date of Approved Guaranty  
or Insurance Agreement

\_\_\_\_\_  
Date of Request

\_\_\_\_\_  
Lender,

\_\_\_\_\_  
Lender's Telephone No.

\_\_\_\_\_  
By

\_\_\_\_\_  
Lender's Address

\_\_\_\_\_  
Zip Code

Copies of the following are to be attached and made a part of this request, if applicable:

- |  |  |
|--|--|
| 1. Non-Delinquency Statement   | 2. Plan of Operation                     |
| 3. Hazard & Liability Insurance  | 4. Current Personal Financial Statement  |
| 5. Cash/Equity Contribution  | 6. Lender's Internal Credit Memo         |
| 7. Current Credit Report   | 8. Two Previous Years Balance Sheets &   |
| 9. 3 Years of Proforma Balance Sheets,<br>Profit <Loss> and Cash Flow Statements | Profit<Loss> Statements, if in business. |

The request for BIA to Guarantee a loan in the amount of \$ \_\_\_\_\_ with an annual interest rate of \_\_\_\_\_ to be made by and between \_\_\_\_\_ (Lender) and \_\_\_\_\_ (Borrower) is hereby approved pursuant to the Indian Financing Act of 1974 (25 U.S.C. 1451 et seq.) The maturity date of this loan is \_\_\_\_\_

This authorization is subject to:

- (a) Provisions of the Guaranty Agreement between Lender and BIA dated \_\_\_\_\_.
- (b) First disbursement of the loan being made not later than \_\_\_\_\_ months, and no disbursement being made later than \_\_\_\_\_ months from the date of this Authorization, unless such time is extended pursuant to prior written consent by BIA.
- (c) Prior to each disbursement on account of the loan, Lender shall be in receipt of evidence satisfactory to it, in its sole discretion, that there has been no unremedied adverse change since the date of the application, or since any of the preceding disbursements, in the financial or any other condition of the Borrower which would warrant withholding or not making any such disbursement or any further disbursement.
- (d) A premium of 2.0 percent of the guaranteed portion of a loan will be charged to lenders. The lender may increase the principal amount of the loan by the cost of the premium and charge it to the borrower. The guaranty of a loan will not be effective until the Deputy Commissioner receives the premium payment.
- (e) The Deputy Commissioner may pay the lender an interest subsidy on loans which are guaranteed. The rate of subsidy will be established by the Deputy Commissioner at the time of issuance of a guaranty certificate. The interest subsidy rate established will be in effect for three years and extended on an annual basis for an additional two years, if justified. Other conditions: Refer to Attachment Request for Loan Guaranty and
- (f) Approval, dated \_\_\_\_\_.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Deputy Commissioner of Indian Affairs  
or Regional Director

**Paperwork Reduction Act Notice (5 CFR Part 1320):** This form is used to guarantee a loan. No person is required to respond to a collection of information unless it displays a current valid OMB control number.

**Burden Estimate:** The public reporting burden is estimated to average 1 hour per respondent. Direct comment regarding the burden estimate or any other aspect of this form should be directed to the Information Collection Officer, Bureau of Indian Affairs, 1849 C Street NW, Mailstop 4140-MIB, Washington, D.C. 20240; and to the Office of Management and Budget, Paperwork Reduction Project 1024-1076-0020.

**Privacy Act Statement: (5 U.S.C. 552(a)):** The authorization to collect this information is 25 U.S.C. 1498. The information will be

used to rate loans and assure compliance with 25 U.S.C. 1463 which requires a reasonable prospect of repayment before a loan can be guaranteed. Disclosures may be made to consumer reporting agencies, Federal, State, or local government, law enforcement responsible for investigating or prosecuting a violation or for enforcing or implementing the statute, rule regulation order or license; U.S. Department of Justice, or in proceedings before a court or adjudicative body; Members of Congress; Department of the Treasury to effect Payment; Federal Agency for collecting debts, and other Federal agencies to detect and eliminate fraud.